

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK  
CURTIS NICHOLS, et al.,

Plaintiffs,

-against-

N.Y.C.D.O.C.S. JOSEPH PONTE, et al.,

Defendants.

ANALISA TORRES, District Judge:

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
DOC #: \_\_\_\_\_  
DATE FILED: 6/7/2021 \_\_\_\_\_

17 Civ. 2976 (AT)

**ORDER**

On April 24, 2017, Plaintiffs *pro se* filed this action. ECF No. 2. At present, only one Plaintiff remains in this action, Raemen Heck. *See* ECF Nos. 28, 71, 77, 112. On June 30, 2017, the Court warned Plaintiff that failure to provide the Court with an updated address could result in dismissal of his claims. ECF No. 29. On July 19, 2019, the Court reiterated this warning to Plaintiff. ECF No. 90. On March 4, 2020, the Clerk of Court mailed a copy of an order at ECF No. 110 to Plaintiff. 3/4/2020 Docket Entry. That order was returned as undeliverable and unable to forward. 3/18/2020 Docket Entry. On February 1, 2021, the Court issued an order directing Plaintiff to show cause by February 15, 2021, as to why his action should not be dismissed for failure to prosecute. ECF No. 117. On February 3, 2021, the Clerk of Court mailed a copy of that order to Plaintiff, 2/3/2021 Docket Entry, and that order was returned as undeliverable, 2/17/2021 Docket Entry.

Under Rule 41(b) of the Federal Rules of Civil Procedure, an action may be dismissed “[i]f the plaintiff fails to prosecute or to comply with [the federal rules] or a court order.” Fed. R. Civ. P. 41(b). “Rule 41(b) gives the district court authority to dismiss a plaintiff’s case *sua sponte* for failure to prosecute.” *Davis v. Town of Hempstead*, 597 F. App’x 31, 32 (2d Cir. 2015) (internal quotation marks and citation omitted). Plaintiff has the ultimate obligation of moving the case to trial, and “[d]ismissal is warranted where there is a lack of due diligence in

the prosecution of the lawsuit by [the] plaintiff.” *West v. City of New York*, 130 F.R.D. 522, 524 (S.D.N.Y. 1990).

A district court contemplating dismissal of a plaintiff’s claim for failure to prosecute and/or to comply with a court order pursuant to Rule 41(b) must consider:

1) the duration of [the] plaintiff’s failures or noncompliance; 2) whether [the] plaintiff had notice that such conduct would result in dismissal; 3) whether prejudice to the defendant is likely to result; 4) [the court’s] interest in managing its docket [balanced] against [the] plaintiff’s interest in receiving an opportunity to be heard; and 5) . . . the efficacy of a sanction less draconian than dismissal.

*Baffa v. Donaldson, Lufkin & Jenrette Sec. Corp.*, 222 F.3d 52, 63 (2d Cir. 2000). “No one factor is dispositive” in determining the proper outcome and the Court must weigh all five factors in determining whether dismissal is appropriate under Rule 41(b). *United States ex rel. Drake v. Norden Sys., Inc.*, 375 F.3d 248, 254 (2d Cir. 2004); *see also Avila v. Comm’r of Soc. Sec.*, No. 15 Civ. 2456, 2016 WL 1562944, at \*3 (S.D.N.Y. Apr. 18, 2016). Dismissal under Rule 41(b) is subject to the “sound discretion of the district courts.” *Tutora v. Correct Care Sols., LLC*, No. 17 Civ. 9169, 2020 WL 1164793, at \*1 (S.D.N.Y. Mar. 11, 2020).

The Court concludes that all factors weigh in favor of dismissal. First, Plaintiff has failed to participate in the prosecution of this action for at least one year. He has also defied three court orders to update his mailing address and to prosecute his action. *See* ECF Nos. 29, 90, 117. Second, the Court warned Plaintiff on two occasions that failure to update his address could result in dismissal for failure to prosecute. ECF Nos. 29, 90. And the Court’s February 3, 2021 order reiterated that notice. ECF No. 117. Third, “[p]rejudice to defendants resulting from unreasonable delay may be presumed.” *Lyell Theatre Corp. v. Loews Corp.*, 682 F.2d 37, 43 (2d Cir. 1982). Here, Plaintiff’s unexcused delay has been exceptionally long. Fourth, dismissal without prejudice properly balances the “need to clear [the] calendar without unduly penalizing


a *pro se* litigant for failing to comply with” a court order. *Thrall v. Cent. N.Y. Reg’l Transp. Auth.*, 399 F. App’x 663, 666 (2d Cir. 2010). Finally, any sanction less than dismissal without prejudice would seemingly be ineffective because Plaintiff has failed to respond to court orders over a lengthy period. *Ruzsa v. Rubenstein & Sendy Attys at Law*, 520 F.3d 176, 178 (2d Cir. 2008).

Accordingly, the case is DISMISSED without prejudice for failure to prosecute pursuant to Rule 41(b).

The Clerk of Court is directed to mail a copy of this order to Plaintiff *pro se* and to close this case.

SO ORDERED.

Dated: June 7, 2021  
New York, New York



---

ANALISA TORRES  
United States District Judge